

# Mechanical properties of phase-pure bulk Ta<sub>4</sub>AlC<sub>3</sub> prepared by spark plasma sintering and subsequent heat treatment

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# Abstract

High-purity and bulk  $Ta_4AlC_3$  ceramics were successfully fabricated by spark plasma sintering (SPS) and subsequent heat treatment, using the raw materials including TaC and  $Ta_2AlC$  powders. These raw materials were first synthesized by self-propagation high temperature synthesis from elements tantalum, aluminium and carbon black powders, followed by pressure-less sintering. The as-fabricated bulk  $Ta_4AlC_3$  was relatively stable when subjected to heat treatment at elevated temperature of 1500 °C. Moreover, prolonging the heat treatment time resulted in bigger grain sizes and higher densities of the  $Ta_4AlC_3$ . The flexural strength and the fracture toughness of the  $Ta_4AlC_3$  fabricated by SPS were found to be 411 MPa and 7.11 MPa·m<sup>1/2</sup>, respectively. After the heat treatment at 1500 °C for 8 h, the flexural strength and the fracture toughness of the  $Ta_4AlC_3$  could reach 709 MPa and 9.23 MPa·m<sup>1/2</sup>, respectively. The special structural characteristics of the ternary ceramics and the increase of density after the heat treatment are the main reasons for the variation in mechanical properties of ternary ceramics.

Keywords: Ta<sub>4</sub>AlC<sub>3</sub>, spark plasma sintering, heat treatment, microstructure, mechanical properties

## I. Introduction

The  $M_{n+1}AX_n$  or MAX phases, as a series of early transition-metal carbides and nitrides where n = 1, 2, or 3, M is an early transition metal, A is an A-group element (mostly groups 13 and 14), and X is C and/or N, consisting of hexagonal crystals, nanolayered microstructure and unique machinable phases with an unusual combination of both metallic and ceramic properties [1]. Since the discovery of several 211 phases (e.g. Ti<sub>2</sub>AlC [2], then referred to as "H-phases") and two 312 phases (i.e. Ti<sub>3</sub>SiC<sub>2</sub> [3] and Ti<sub>3</sub>GeC<sub>2</sub> [4]) by Nowotny's group [5] in the 1960s, more than 150 MAX compositions have been developed by the researchers [6]. Among them, Barsoum's group [7] started their related work in 1996 and then presented the most remark-

able study in their more than 300 literature reports. In addition, the well-known scientist Zhou and his group [8] have devoted extensive research efforts after their first report on *in situ* hot pressing/solid-liquid reaction of  $Ti_3SiC_2$  ceramics in 1998. Moreover, the well-known group of Eklund [9] at Linkoping University has also been attempting related studies and most of them involve the characterization and properties of the MAX films [10].

The MAX phases have attracted significant research attention not only because of the unusual combination of both metallic and ceramic properties, but also for other significant extraordinary properties [11–17]. In general, among them, M [12,14], A [11,13] and/or X [15,16] site solutions, nanolayered behaviour [18,19] and selected etched precursors for MXenes [20–23] make MAX phases significantly different from other materials. To the best of our knowledge, more than 50

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MAX solutions with different M, A and X compositions have been reported till date [6]. Moreover, the nanolayered behaviour [24] always refers to relatively soft [25], damage tolerant [26] and plastic at high temperatures [27]. Special layered structures usually have basic 211, 312 and 413 P6<sub>3</sub>/mmc lattices with M-X octahedra interleaved with layers of A elements. In contrast, other hybrid structures (e.g. 523, 725) can be described as a combination of the basic conventional MAX phases [6]. Another typical deformation refers to the compression of nanolayered MAX by ripplocation nucleation, and that in turn leads to kink-band formation, which was disclosed by Barsoum and Tucker [28]. The ripplocation is obviously different from dislocation slip and deformation twinning. Moreover, MAX phases are also common for two-dimensional MXenes and they will be progressively more extensive and significant due to the application prospects of new MXene with composition variations and property tuning [29-34].

Ta<sub>4</sub>AlC<sub>3</sub>, a member of the family of MAX phases, was first reported by Lin et al. [35] in 2006 as bulk  $Ta_4AlC_3$  and then soon confirmed by Manoun *et al.* [36]. The bulk modulus of  $Ta_4AlC_3$  was calculated to be 261 GPa [37] and  $Ta_4AlC_3$ , so far, consists of the highest M for any single-phase and non-solid solution MAX (comparable tungsten solutions:  $(W_{2/3}Sc_{1/3})_2AIC$ and  $(W_{2/3}Y_{1/3})_2$ AlC) [38,39]. Synthesis of bulk Ta<sub>4</sub>AlC<sub>3</sub> was first reported by Hu et al. [40] in 2007 by hotpressing (HP). Very recently, Griseri et al. [41] fabricated bulk and high phase purity Ta<sub>4</sub>AlC<sub>3</sub> MAX phase ceramics by HP and spark plasma sintering (SPS). However, these reports on Ta<sub>4</sub>AlC<sub>3</sub> still have some challenging and missing research areas to identify. Firstly, Xray diffraction (XRD) pattern of the Ta<sub>4</sub>AlC<sub>3</sub> sample needs to be determined. Eklund et al. [9] reported the details about d and  $2\theta$  values, and calculated and experimentally normalized relative intensities for allowed *hkl* peaks of  $Ta_4AlC_3$  where the (*hkl*) = (106) with  $I_{calculated} = I_{observed} = 100\%$  at  $2\theta$  of ~23.17°. However, Hu *et al.* [40] showed the (hkl) = (105) with  $I_{calculated} =$  $I_{observed} = 100\%$  at  $2\theta$  of  $\sim 38.60^{\circ}$  and (106) at  $2\theta$  of ~40.70°. Moreover, in 2019 Griseri et al. [41] showed the (hkl) = (002) with  $I_{calculated} = I_{observed} = 100\%$  at  $2\theta$ of  $\sim 7.70^\circ$  or the (*hkl*) = (0010) with  $I_{observed} = 100\%$ at  $2\theta$  of ~38.00°, which corresponds to (106) at  $2\theta$  of ~40.70°. Secondly, Ta-Al-C and Ta<sub>2</sub>H-Al-C powders mixtures were previously prepared into dense polycrystalline samples of Ta<sub>2</sub>AlC and Ta<sub>4</sub>AlC<sub>3</sub> by SPS or HP. During the processing, the solid-liquid reaction resulted in the melting of Al at elevated temperature. Ta-Al intermetallics, Al<sub>2</sub>O<sub>3</sub> and TaC are frequently found in synthesized Ta<sub>4</sub>AlC<sub>3</sub>. Griseri *et al.* [41] synthesized  $\alpha$ - $Ta_4AlC_3$  with high-purity of 99% (with  $Al_2O_3$ ) by HP at 1500 °C for 30 min at 30 MPa and the  $\beta$ -Ta<sub>4</sub>AlC<sub>3</sub> allotrope (80%  $\alpha$ -Ta<sub>4</sub>AlC<sub>3</sub> and 20%  $\beta$ -Ta<sub>4</sub>AlC<sub>3</sub>, with Ta-Al intermetallic and  $Al_2O_3$ ) was observed in the samples produced by SPS. Formation of dense, high-purity and bulk  $\alpha$ -Ta<sub>4</sub>AlC<sub>3</sub> by SPS remains a challenging task.

Thirdly, report by Griseri *et al.* in 2019 [41] showed the XRD pattern and  $\alpha$ - $\beta$ -Ta<sub>4</sub>AlC<sub>3</sub> bulk samples prepared by SPS, which exhibited thermal decomposition and existence of pores. The result revealed only a small difference in phase composition and  $2\theta$  values between  $\alpha$ -Ta<sub>4</sub>AlC<sub>3</sub> and  $\beta$ -Ta<sub>4</sub>AlC<sub>3</sub> samples based on the XRD patterns. Thus, additional investigation and clarification of XRD results are required.

The main objective of this study was to synthesize high-purity  $\alpha$ -Ta<sub>4</sub>AlC<sub>3</sub> by SPS involving a series of strategies and resolve the dispute encountered in previous studies related to Ta<sub>4</sub>AlC<sub>3</sub>. Dense and bulk Ta<sub>4</sub>AlC<sub>3</sub> ceramics were synthesized by *in situ* SPS/solid-solid reaction using TaC and sintered Ta<sub>2</sub>AlC as raw materials. Furthermore, the conflicts on the XRD pattern of  $\alpha$ -Ta<sub>4</sub>AlC<sub>3</sub> were also resolved. The effect of heat treatment on the microstructures and mechanical properties of bulk Ta<sub>4</sub>AlC<sub>3</sub> ceramics prepared by SPS was also investigated.

## **II. Experimental**

Commercial powders of tantalum (99.99 wt.%, ~45 µm, Ningxia Orient Tantalum Industry Co. Ltd, Ningxia, China), aluminium (99.7 wt.%, ~29 µm, China Northeast Light Alloy Co. Harbin, China), tantalum carbide (99.5 wt.%,  $>2 \mu m$ , Ningxia Orient Tantalum Industry Co. Ltd, Ningxia, China) and carbon black (99.0 wt.%, 18 nm, Tianjin Yiborui Chemical Co. Ltd.) were used as raw materials. The tantalum, aluminium and carbon black powders with a molar ratio of Ta : Al : C = 2 : 1.4 : 1 were wet-mixed in absolute ethanol using a planetary ball mill for 15 h. Stainless steel balls were used as the mixing medium. The milled mixture was dried in a rotating evaporator and compacted uniaxially in a steel die under a pressure of 50 MPa. The as-obtained green compacts with a dimension of  $\emptyset$  50 × 10 mm were sintered by self-propagation high temperature synthesis (SHS) in air, which resulted in the formation of Ta2AlC. Related details could be found elsewhere [42]. The Ta2AlC samples prepared via SHS were ground with SiC emery paper to remove the outer surface and they were crushed into powders. The Ta<sub>2</sub>AlC powders were washed with hydrochloric acid (HCl, 1 mol/l). The washed Ta<sub>2</sub>AlC powders were dried and sieved passed through a sieve size of  $\sim 45 \,\mu m$ . For comparative analysis, these powders were also pressureless sintered (PLS) in tube furnace at 1500 °C for 1 h under a flow of pure argon (99.99%) and then crushed into powders with the particle size of  $\sim 45 \,\mu\text{m}$ , thus phase pure Ta<sub>2</sub>AlC powders could be obtained.

The phase pure Ta<sub>2</sub>AlC (~45  $\mu$ m) and TaC powders with molar ratios of Ta<sub>2</sub>AlC : TaC = 1 : 0.8, 1 : 1.2, 1 : 1.6 and 1 : 1.8 were considered for the fabrication of Ta<sub>4</sub>AlC<sub>3</sub>. The mixed powders were placed into a BNcoated graphite die and cold pressed uniaxially under 20 MPa. Further on, the green body together with the die was heated to 1500 °C in an Ar atmosphere at a heating rate of 80 °C/min, and hot pressed at this temperature for 10 min under a pressure of 30 MPa using SPS.

The phase composition of the pressure-less sintered powders, SPS sintered material and heat-treated material were tested by XRD using a X-ray diffractometer operated with Cu K $\alpha$  radiation (Rigaku Smart lab, Rigaku Corporation, Tokyo, Japan). The refinements of XRD patterns were performed by Rietveld method using the Fullprof [43]. Microstructures of the samples were observed by scanning electron microscopy (SEM, S-4800, Hitachi, Tokyo, Japan). The densities of the sintered and heat-treated samples were measured by the Archimedes method. The Vickers hardness was determined under a load of 10 N with a fixed contact time of 15 s using a hardness tester. Flexural strength was determined via three-point bending of parallelepipeds with a dimension of  $3 \text{ mm} \times 4 \text{ mm} \times 36 \text{ mm}$ , using a 30 mmspan and a crosshead speed of 0.5 mm/min. Fracture toughness was determined by the single-edge-notched beam technique. Moreover, the parallelepipeds with dimensions of  $2 \text{ mm} \times 4 \text{ mm} \times 16 \text{ mm}$  and with 2 mmnotch were measured at a speed of 0.05 mm/min.

## III. Results and discussion

#### 3.1. X-ray diffraction patterns

Figure 1a shows XRD patterns of the Ta<sub>2</sub>AlC synthesized by SHS and PLS, exhibiting the presence of typical peaks of Ta<sub>2</sub>AlC, TaC and Al<sub>2</sub>O<sub>3</sub> for the sample prepared by SHS. The appearance of binary carbide, TaC indicates that the rapid reaction process involved in SHS leads to an insufficient reaction. At the same time, high temperature reaction of SHS can lead to Al oxidation. After removal of Al<sub>2</sub>O<sub>3</sub>, subjecting the sample to PLS method at 1500 °C for 1 h, phase pure Ta<sub>2</sub>AlC could be obtained, reflecting that the binary carbide TaC can be completely converted into ternary carbides by further reaction.

 $Ta_4AlC_3$  can be synthesized at 1500 °C using the phase pure  $Ta_2AlC$  and TaC powders with molar ratios

of  $Ta_2AIC$ : TaC = 1 : 0.8, 1 : 1.2, 1 : 1.6 and 1 : 1.8, as shown in Fig. 1b. Thus, finally the formation of  $Ta_4AIC_3$  can be carried out according to the following reaction:

$$Ta_2AlC_{(s)} + TaC_{(s)} \longrightarrow Ta_4AlC_{3(s)}$$
(1)

This indicates that the 413 ternary  $M_{n+1}AX_n$  can also be synthesized by the reaction between 211 ternary  $M_{n+1}AX_n$  and binary carbide MX, when previously the 312 ternary  $M_{n+1}AX_n$  was obtained from the 211 ternary  $M_{n+1}AX_n$  and binary carbide MX. For the different ratios of Ta<sub>2</sub>AlC : TaC, phase pure Ta<sub>4</sub>AlC<sub>3</sub> could be synthesized while the ratio of Ta<sub>2</sub>AlC : TaC = 1 : 1.2 provided the formula Ta<sub>4</sub>Al<sub>1.25</sub>C<sub>2.75</sub>, as presented in Table 1. Correspondingly, the deficiency of TaC leads to the incomplete transformation reaction and excess carbides become redundant. In this case, the excess of Al element and the lack of proportional carbon element are common phenomena in the synthesis of ternary ceramics.

Figure 1b shows the peaks for the Ta<sub>4</sub>AlC<sub>3</sub> phase exhibiting that the (*hkl*) = (106) with  $I_{observed}$  = 100% at 2 $\theta$  of ~40.30°. These results are for the Ta<sub>4</sub>AlC<sub>3</sub> powders, if only considering the relationship between Ta<sub>4</sub>AlC<sub>3</sub> index and 2 $\theta$ . Moreover, these results are also consistent with the previous reports [40,41]. When considering the 2 $\theta$  and  $I_{observed}$  = 100% of Ta<sub>4</sub>AlC<sub>3</sub>, the results are consistent with the examples in previous report [41]. The lattice parameters for the Ta<sub>4</sub>AlC<sub>3</sub> obtained by PLS method are in the range of a = 3.1103-3.1157 Å, c = 23.9316-24.1670Å, respectively. The lattice parameters a and c are higher than those of Ta<sub>2</sub>AlC.

Furthermore, XRD patterns of the sample prepared by SPS at 1500 °C for 10 min with a heating rate of 80 °C/min and under a pressure of 30 MPa, using Ta<sub>2</sub>AlC : TaC = 1 : 1.2 as raw materials were also obtained. Similar to the PLS samples, phase-pure bulk Ta<sub>4</sub>AlC<sub>3</sub> was also found, as shown in Fig. 2. Extra phase transition was not found during the processing, indicating the occurrence of the same reaction as Eq. 1. How-



Figure 1. XRD patterns of the synthesized: a) Ta<sub>2</sub>AlC and b) Ta<sub>4</sub>AlC<sub>3</sub>

Raw material / Method	Formula	Phase	Parameters [Å]	Refs.	
Ta: Al: C (carbon black) = 2: 1.4: 1	T AL C	Ta <sub>2</sub> AlC, TaC	$Ta_2AIC (a = 3.0990 \pm 0.1223,$	ΤC	
SHS	$Ia_2AI_{1.4}C$	and Al <sub>2</sub> O <sub>3</sub>	$c = 14.1338 \pm 0.0793$ ), $R_{wp} = 13.2\%$		
Ta : Al : C (carbon black) = $2 : 1.4 : 1$ SHS + removal of Al <sub>2</sub> O <sub>3</sub> + PLS	Ta <sub>2</sub> Al <sub>1.4</sub> C	Ta <sub>2</sub> AlC	Ta <sub>2</sub> AlC ( $a = 3.0731 \pm 0.0039$ , $c = 13.7685 \pm 0.0224$ ), $R_{wp} = 11.7\%$	TS	
$Ta_2AlC: TaC = 1:0.8$ PLS	Ta <sub>4</sub> Al <sub>1.43</sub> C <sub>2.57</sub>	Ta <sub>2</sub> AlC	Ta <sub>2</sub> AIC ( $a = 3.0862 \pm 0.0039$ , $c = 13.8211 \pm 0.0348$ ) Ta AIC ( $a = 3.1103 \pm 0.0007$	TS	
		Ta <sub>4</sub> AlC <sub>3</sub>	$c = 23.9750 \pm 0.0650), R_{wp} = 8.42\%$		
$Ta_2AIC : TaC = 1 : 1.2$ PLS	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.1157 \pm 0.0018$ , $c = 23.9316 \pm 0.1180$ ), $R_{wp} = 9.40\%$	TS	
$Ta_2AlC: TaC = 1: 1.6$ PLS	Ta <sub>4</sub> Al <sub>1.11</sub> C <sub>2.89</sub>	Ta <sub>4</sub> AlC <sub>3</sub> , TaC	$Ta_4AlC_3 (a = 3.1149 \pm 0.0028, c = 24.1670 \pm 0.0243), R_{wp} = 11.70\%$	TS	
$Ta_2AlC: TaC = 1: 1.8$ PLS	Ta <sub>4</sub> Al <sub>1.05</sub> C <sub>2.95</sub>	Ta <sub>4</sub> AlC <sub>3</sub> , TaC	$Ta_4AlC_3 (a = 3.1075 \pm 0.0006, c = 23.9933 \pm 0.0915), R_{wp} = 7.96\%$	TS	
$Ta_2AIC : TaC = 1 : 1.2$ SPS	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.1080 \pm 0.0013$ , $c = 24.0567 \pm 0.0145$ ), $R_{wp} = 5.53\%$	TS	
$Ta_2AIC : TaC = 1 : 1.2$ SPS + HT 1 h	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	$Ta_4AlC_3 (a = 3.1098 \pm 0.0015, c = 24.0809 \pm 0.0298), R_{wp} = 12.94\%$	TS	
$Ta_2AIC : TaC = 1 : 1.2$ SPS + HT 2 h	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.1160 \pm 0.0013$ , $c = 24.1697 \pm 0.0444$ ), $R_{wp} = 12.63\%$	TS	
$Ta_2AIC : TaC = 1 : 1.2$ SPS + HT 4 h	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.1267 \pm 0.0034$ , $c = 24.1720 \pm 0.0039$ ), $R_{wp} = 8.81\%$	TS	
$Ta_2AIC : TaC = 1 : 1.2$ SPS + HT 8 h	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.75</sub>	Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.1331 \pm 0.0293$ , $c = 24.1753 \pm 0.0068$ ), $R_{wp} = 13.86\%$	TS	
Ta : A1 : C(graphite) = 2 : 1.2 : 0.9 $HP$	$\mathrm{Ta_2Al_{1.2}C_{0.9}}$	Ta <sub>2</sub> AlC	$Ta_2AlC (a = 3.081 \text{ Å}, c = 13.89 \text{ Å})$	[44]	
Ta : A1 : C(graphite) = 2 : 1.2 : 0.9 $HP$	$\mathrm{Ta_2Al_{1.2}C_{0.9}}$	Ta <sub>2</sub> AlC	$Ta_2AlC (a = 3.079 \text{ Å}, c = 13.854 \text{ Å})$	[45]	
Ta : Al : C(graphite) HP	-	Ta <sub>4</sub> AlC <sub>3</sub>	$Ta_4AlC_3 (a = 3.0916 \text{ Å}, c = 23.7078 \text{ Å})$	[40]	
Ta : Al : C(graphite) HP	-	Ta <sub>4</sub> AlC <sub>3</sub>	$Ta_4AlC_3 (a = 3.0918 \text{ Å}, c = 23.7082 \text{ Å})$	[35]	
$Ta_{2}H : Al : C(graphite) = 2 : 1.25 : 2.6$ SPS	Ta <sub>4</sub> Al <sub>1.25</sub> C <sub>2.6</sub>	$\alpha$ -Ta <sub>4</sub> AlC <sub>3</sub>	$\alpha$ -Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.133(6)$ Å, c = 24.10(9)Å)		
		$\beta$ -Ta <sub>4</sub> AlC <sub>3</sub>	$\beta$ -Ta <sub>4</sub> AlC <sub>3</sub> ( $a = 3.086(5)$ Å, c = 23.74(1) Å)	[41]	

Table 1. Summary of fabricated Ta-Al-C MAX phases reported in the literature and measured in this study (TS)

ever, compared to the powder XRD results of Ta<sub>4</sub>AlC<sub>3</sub> obtained by the PLS method, the relative intensity of diffraction peaks exhibited obvious preferential growth orientation due to the high pressure in the SPS processing. Thus, the main crystal plane (hkl) = (0010) with  $I_{observed} = 100\%$  at  $2\theta$  of  $\sim 37.50^{\circ}$  was observed, which is consistent with the previously reported bulk  $Ta_4AlC_3$ samples prepared by HP and SPS [41]. However, the lattice parameters a and c are comparable with those of the PLS samples as well as the results in previous literature reports [41]. The lattice parameters for the  $Ta_4AlC_3$ sample obtained by SPS and subsequent HT are in the range of a = 3.1080 - 3.1331 Å and c = 23.9933 - 3.1080 - 3.1331 Å 24.1753 Å, respectively. The lattice parameters a and cincrease with increasing heat treatment time of the SPS sintered sample at 1500 °C from 0 to 8h, which may be attributed to stress release and grain growth of bulk  $Ta_4AlC_3$  at elevated temperature of 1500 °C. However, no obvious phase change was observed in the bulk sample, indicating that the bulk  $Ta_4AlC_3$  at 1500 °C is relatively stable.

#### 3.2. Microstructure

Figure 3a shows typical SEM micrographs of the fractured surface of the as-sintered bulk  $Ta_4AlC_3$  sample by SPS, exhibiting the existence of typical laminated structure at the cleavage planes. The average length of the large  $Ta_4AlC_3$  grains prepared by SPS at 1500 °C as measured to be  $4.92 \pm 0.11 \,\mu$ m. A large number of  $\sim 1 \,\mu$ m fine grains and several fine pores could also be observed. After heat treatment at 1500 °C for 2, 4 and 8 h, fine grains and pores disappeared and the average grain sizes of the grains gradually increased. It is thus indicated that coarse grains tend to form and grow in the



Figure 2. XRD patterns of  $Ta_4AlC_3$  fabricated by SPS of the sample  $Ta_2AlC$ : TaC = 1 : 1.2 and heat treated at 1500 °C under different time

Ta<sub>4</sub>AlC<sub>3</sub> in the process of heat treatment temperature of 1500 °C. However, the grain size of the bulk Ta<sub>4</sub>AlC<sub>3</sub> prepared by SPS and heat treated at 1500 °C for 8 h was 7.61  $\pm$  0.14 µm, which is still smaller than that of the bulk Ta<sub>4</sub>AlC<sub>3</sub> obtained by HP at 1500 °C for 1 h. All in all, the rapid synthesis method of SPS is propitious to fabricate fine grain size M<sub>n+1</sub>AX<sub>n</sub> phase.

## 3.3. Mechanical properties

Figure 4 illustrates the effect of heat treatment on the Vickers hardness of the bulk  $Ta_4AlC_3$  sintered by SPS. Clearly, the hardness of the  $Ta_4AlC_3$  decreases gradually with the increase of heat treatment time. Further-

more, the grain sizes of the  $Ta_4AlC_3$ , exhibit an increasing tendency with increasing heat treatment time. This reflects that the fine grain size of the  $Ta_4AlC_3$  results in higher microhardness, i.e. Vickers hardness.

The variation of densities of the bulk  $Ta_4AlC_3$  ceramics after different heat treatment times is shown in Fig. 5. With increasing heat treatment time from 0 to 8 h, the density changes from 11.636 to 12.165 g/cm<sup>3</sup>. Correspondingly, the relative density increases from 90.7 to 94.2% TD. Consequently, the growth of grains during heat treatment can lead to the disappearance of pores and the increase of density, which was also indicated by the microstructure observation.

Effect of heat treatment times on the flexural strength and the fracture toughness of bulk  $Ta_4AlC_3$  prepared



Figure 4. Effect of heat treatment time on the Vickers hardness of bulk Ta<sub>4</sub>AlC<sub>3</sub> fabricated by SPS



Figure 3. SEM images of fractured surfaces of  $Ta_4AlC_3$  fabricated by SPS and heat treated at 1500 °C for: a) 0 h, b) 2 h, c) 4 h, and d) 8 h



Figure 5. Variation of density of bulk Ta<sub>4</sub>AlC<sub>3</sub> ceramics with different heat treatment time

by SPS is shown in Figs. 6a and 6b, respectively. For the bulk  $Ta_4AlC_3$  fabricated by SPS at 1500 °C for 10 min, the flexural strength and fracture toughness are 411 MPa and 7.11 MPa·m<sup>1/2</sup>, respectively. The flexural strength is higher than those of the hot pressed MAX samples reported in the literature, i.e.  $Ta_4AlC_3$  372 MPa [40],  $Ta_4AlC_3$  407 MPa [41], Nb<sub>4</sub>AlC<sub>3</sub> 346 MPa [46] and  $Ta_2AlC$  360 MPa [45]. However, the fracture toughness is comparable to that of the hot pressed samples, namely, Ta<sub>4</sub>AlC<sub>3</sub> 7.7 MPa·m<sup>1/2</sup> [40], Ta<sub>4</sub>AlC<sub>3</sub> 5.0 MPa·m<sup>1/2</sup> [41], Nb<sub>4</sub>AlC<sub>3</sub> 7.1 MPa·m<sup>1/2</sup> [46] and Ta<sub>2</sub>AlC 7.7 MPa·m<sup>1/2</sup> [45]. Summary of fabrication methods and mechanical properties compared to previous studies on bulk Ta<sub>4</sub>AlC<sub>3</sub>, Nb<sub>4</sub>AlC<sub>3</sub> and Ta<sub>2</sub>AlC is presented in Table 2.

#### **IV.** Conclusions

Bulk, dense and phase-pure  $Ta_4AlC_3$  ceramics were fabricated by SPS via powders metallurgical route involving solid-solid reaction of Ta2AlC and TaC. By regulating the Ta<sub>2</sub>AlC and TaC stoichiometric ratio, the reaction was achievable during the preparation process. Phase-pure Ta<sub>4</sub>AlC<sub>3</sub> ceramics were fabricated by SPS using mixtures with molar ratio of  $Ta_2AIC$  : TaC = 1 : 1.2 at 1500 °C for 10 min under a pressure of 30 MPa. The molar ratio of  $Ta_2AlC$  : TaC = 1 : 1.2 also proved to be a valid mixture for the PLS Ta<sub>4</sub>AlC<sub>3</sub> powders at 1500 °C for 1 h. Contradicting results reported previously in the literature were resolved, thus the XRD peak  $I_{observed} = 100\%$  of  $Ta_4AlC_3$  was obtained for crystal plane (*hkl*) = (106) at  $2\theta$  of ~40.30° or at (*hkl*) = (0010) at  $2\theta$  of ~37.50° depending on the processing method. The density, Vickers hardness, flexural strength



Figure 6. Variation of flexural strength and fracture toughness of bulk Ta<sub>4</sub>AlC<sub>3</sub> ceramics with different heat treatment time: a) flexural strength and b) fracture toughness

Table 2. Summaries of the fabrication methods, density  $(\rho)$  and mechanical properties (Vickers hardness HV, fracture toughness  $K_{IC}$  and flexural strength  $\sigma$ ) of bulk Ta<sub>4</sub>AlC<sub>3</sub>, Nb<sub>4</sub>AlC<sub>3</sub> and Ta<sub>2</sub>AlC reported in the literature and measured in this study (TS)

Phase	Raw material / Method	$\rho$	HV	K <sub>IC</sub>	$\sigma$	Refs.
	Raw material / Wethou	$[g/cm^3]$	[GPa]	[MPa·m <sup>1/2</sup> ]	[MPa]	
Ta <sub>4</sub> AlC <sub>3</sub>	Ta <sub>2</sub> AlC and TaC / SPS	11.64	5.28	7.11	411	TS
$Ta_4AlC_3$	$Ta_2AlC$ and $TaC / SPS + HT 1 h$	11.67	5.54	8.29	500	TS
$Ta_4AlC_3$	$Ta_2AlC$ and $TaC / SPS + HT 2h$	11.75	5.95	8.97	623	TS
$Ta_4AlC_3$	$Ta_2^{-}AlC$ and $TaC / SPS + HT 4 h$	11.92	6.21	9.18	684	TS
$Ta_4AlC_3$	$Ta_2^{-}AlC$ and $TaC / SPS + HT 8 h$	12.17	6.49	9.23	709	TS
$Ta_4AlC_3$	Ta, Al and C / HP	13.18	5.1	7.7	372	[40]
$Ta_4AlC_3$	Ta <sub>2</sub> H, Al and C / HP	12.27	6.1	5.0	407	[41]
$Nb_4AlC_3$	Nb, Al and C / HP	6.97	2.6	7.1	346	[46]
Ta <sub>2</sub> AlC	Ta, Al and C / HP	11.46	-	7.7	360	[45]

and fracture toughness of the bulk  $Ta_4AlC_3$  ceramics were found to be 11.64 g/cm<sup>3</sup>, 5.28 GPa, 411 MPa and 7.11 MPa·m<sup>1/2</sup>, respectively. The bulk  $Ta_4AlC_3$  heat treated at elevated temperature of 1500 °C was relatively stable even for the dwell time of 8 h. However, the growth of fine grains of the  $Ta_4AlC_3$  fabricated by SPS during the heat treatment could lead to the disappearance of pores and the increase of density, which also resulted in the significant increase in the flexural strength and the fracture toughness of bulk  $Ta_4AlC_3$  ceramics.

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